

Serial No.

Doc. No. RD-27,856

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John E. Hershey et al. : Group Art Unit:  
Serial No.: : Examiner:  
Filed: :  
For: DISTRIBUTED RECEIVER SYSTEM :  
AND METHOD :

10/082728  
02/26/02  
1-22-03

INFORMATION DISCLOSURE STATEMENT

- ☒ 1 Pursuant to 37 CFR 1.97 (b)  
[within 3 months of national, non-CPA filing or prior to 1<sup>st</sup> Office Action] *no charge*
- ☐ 2 Certification Pursuant to 37 CFR 1.97(c)(1)  
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)(2)  
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- ☐ 4 Certification & Fee Payment Pursuant to 37 CFR 1.97(d)  
[On or before issue fee payment] *\$180.00*

ATTN: BOX PATENT APPLICATION

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.
Date of Deposit
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Signature


This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1<sup>st</sup> Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR 1.97(d): [on or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868.

An original and two (2) copies of this document are enclosed.

Respectfully submitted,

October 30, 2001  
Date

  
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General Electric Company  
CRD Patent Docket Rm 4A59  
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FORM PTO-1449  
(REV. 7-80)US. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
RD-27,856

SERIAL NO.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT  
LIST OF ITEMSApplicant  
John E. Hershey et al.

Filing Date

Group

1c978 U.S. PTO  
10/082728

02/26/02

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA 4,641,317	02/03/87	Fullerton			
	AB					
	AC					
	AD					
	AE					

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AF					
	AG					
	AH					
	AI					
	AJ					

## OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, etc.)

AK	"Ultra-Wideband Communications System," HW Tomlinson, Jr.; JE Hershey; RT Hocter; Welles, II; Serial No. 09/753,443, filed January 3, 2001.
AL	
AM	
AN	
AO	
AP	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant